

# Lecture 3: Modelling Lumped Parameter Systems

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(Plus material from Ian Cameron)

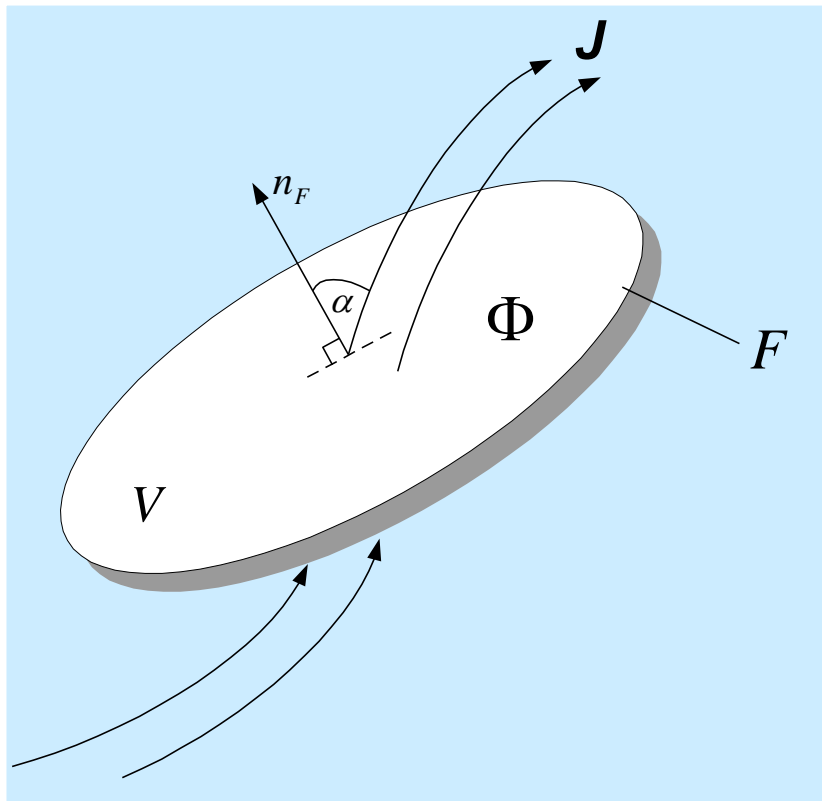
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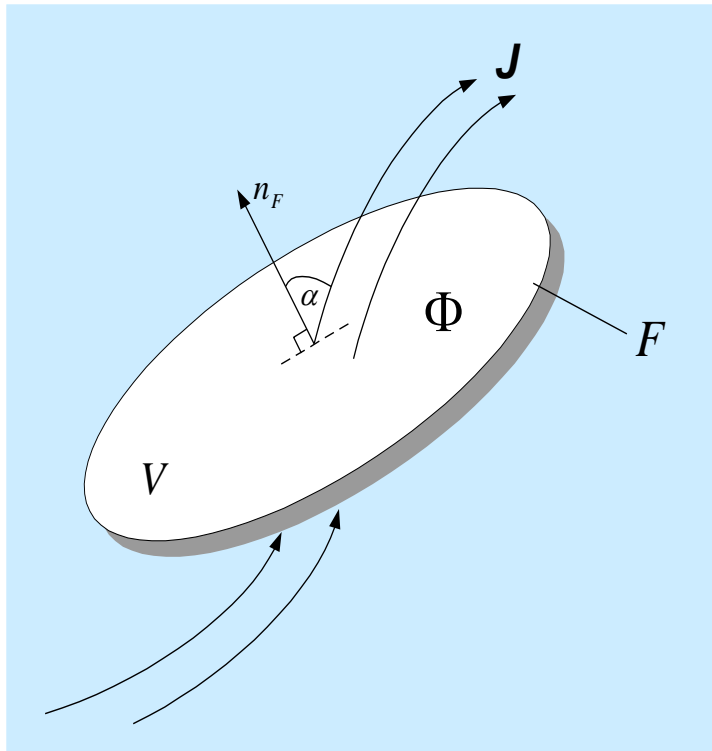
# General 3D conservation space



Governing equation

$$\frac{\partial \Phi}{\partial t} = -\nabla \cdot J + q$$

# General 3D conservation space



## Governing equation

General differential form  
where  $\Phi$  can vary in space and time

$$\frac{\partial \hat{\Phi}}{\partial t} = -\nabla \cdot J + \hat{q}$$

## Governing equation

Lumped form where  $\Phi$  does *not* vary in space but varies in time

$$\frac{d\Phi}{dt} = \Delta J_C + q$$

# The lumped conservation balance

## General conservation balance

$$\frac{d}{dt} \left\{ \int_v \hat{\Phi}(r, t) dv \right\} = - \oint_F J(r, t) \bullet n_F(r) df + \int_V \hat{q}(r, t) dv$$

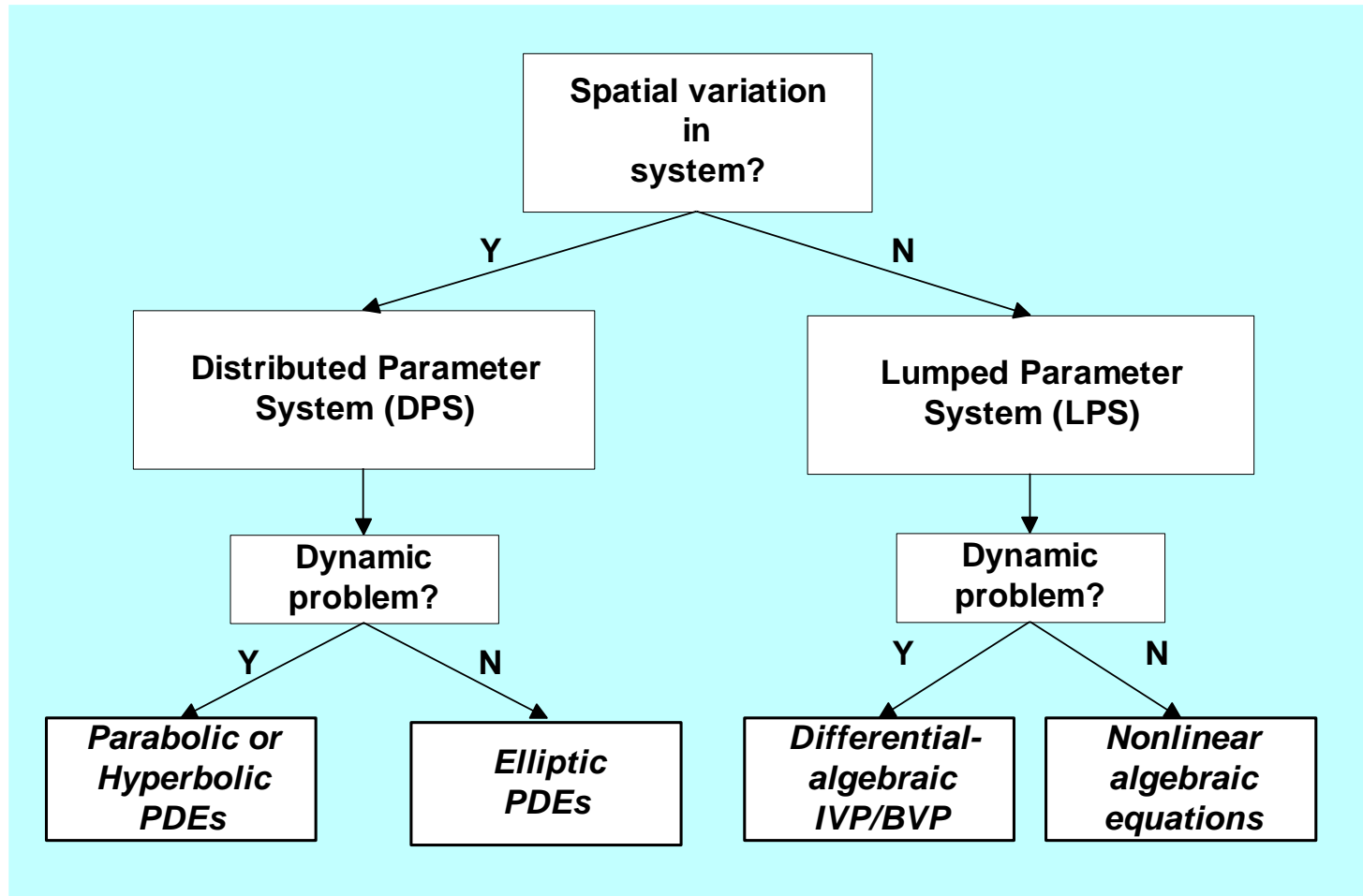
## Assuming homogeneity in the conserved quantity

$$\frac{d}{dt} \left\{ \hat{\Phi} \int_v dv \right\} = \Delta J + \hat{q} \int_v dv \longrightarrow \frac{d}{dt} \left\{ \hat{\Phi} V \right\} = \Delta J + \hat{q} V$$

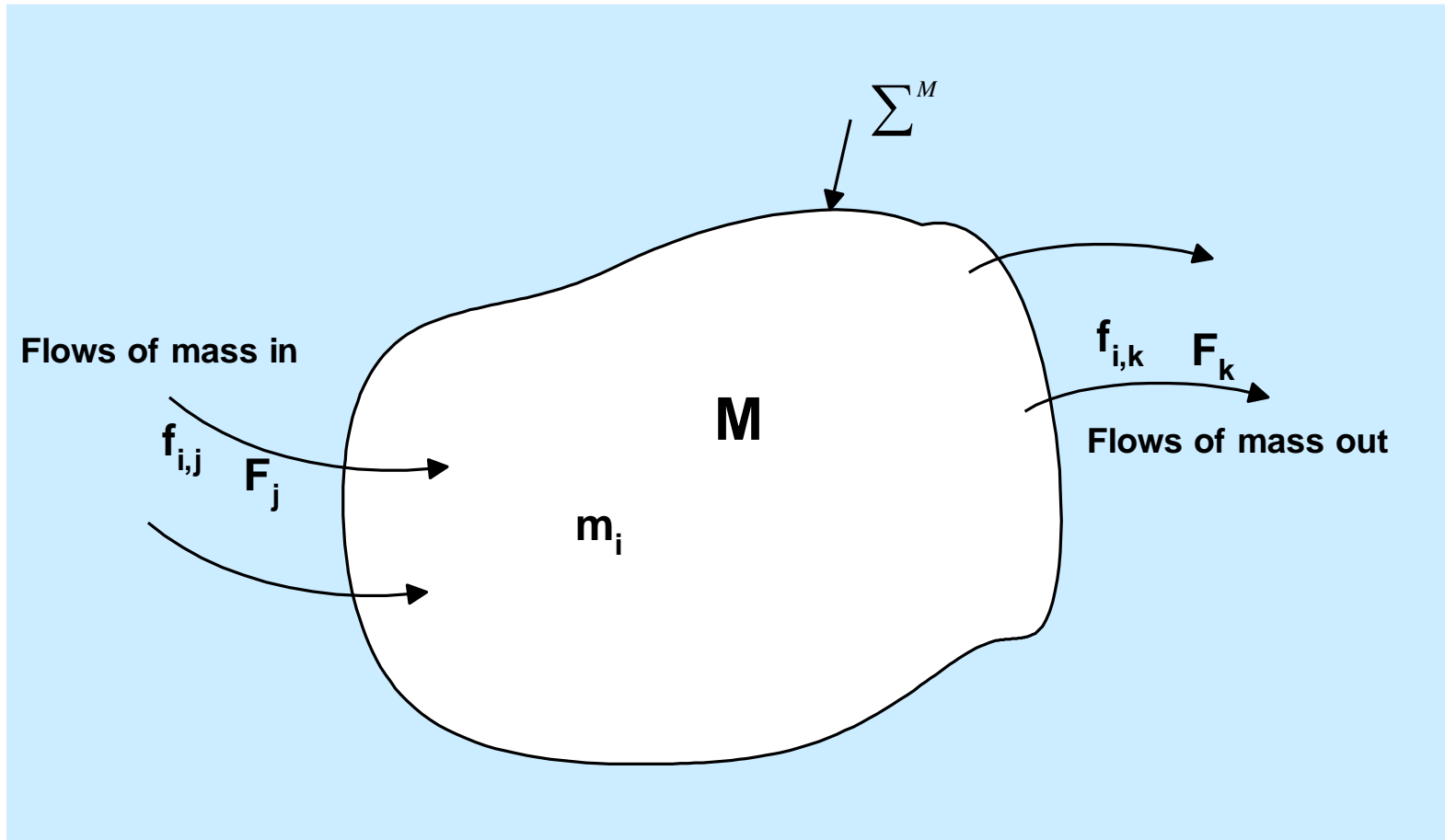
## Lumped conservation balance

$$\frac{d}{dt} \Phi = \Delta J + q$$

# Model Characteristics

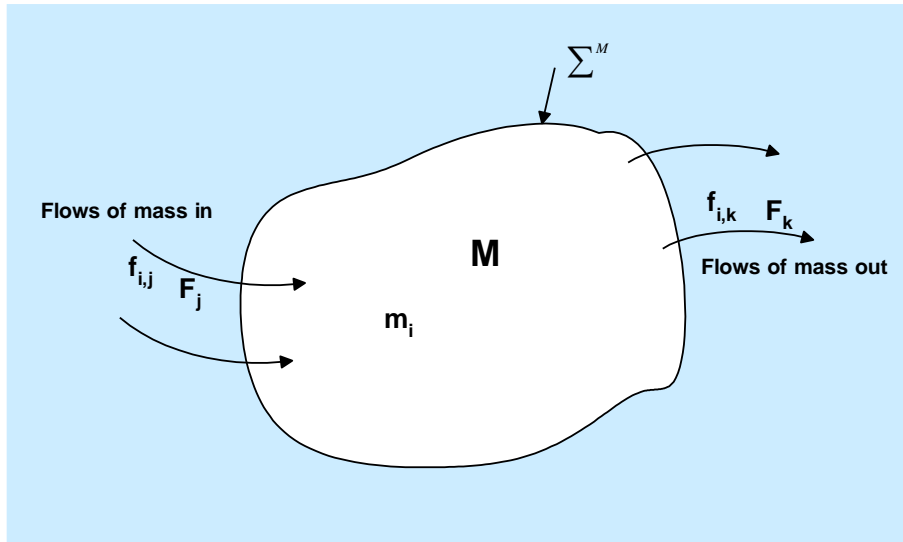


# The mass balance volume



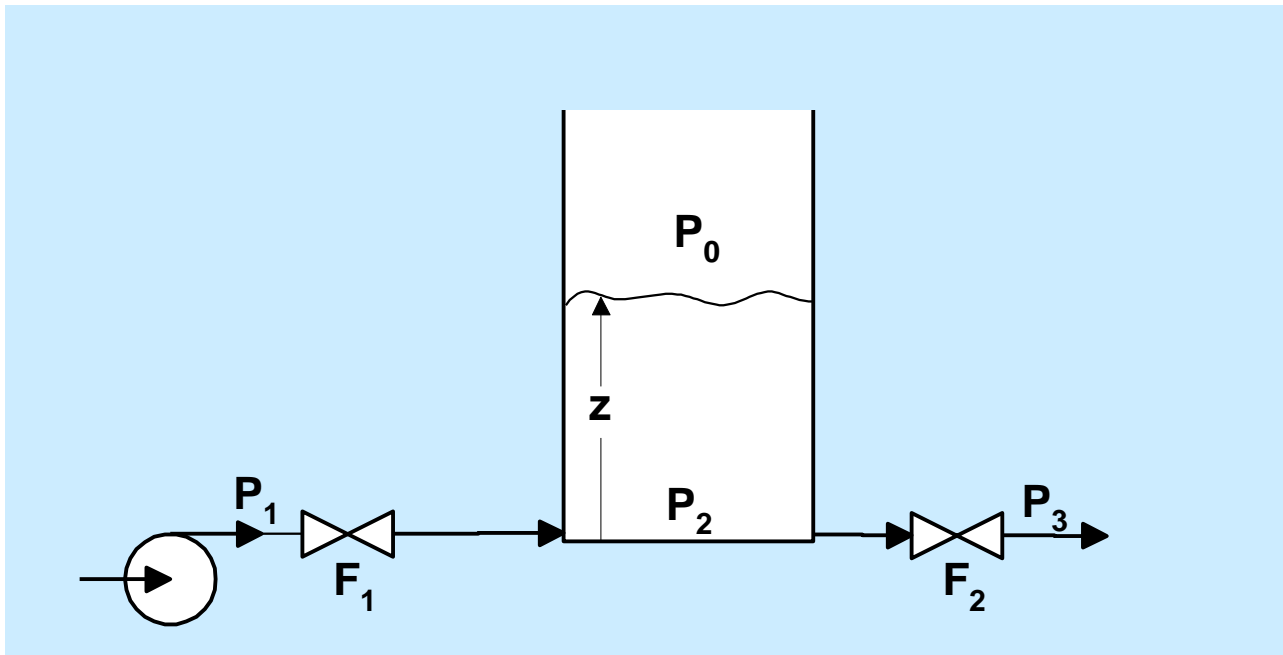
# Overall mass balance

$$\left\{ \begin{array}{l} \text{rate of change} \\ \text{of total mass} \end{array} \right\} = \left\{ \begin{array}{l} \text{flow of mass} \\ \text{into the system} \end{array} \right\} - \left\{ \begin{array}{l} \text{flow of mass} \\ \text{out of the system} \end{array} \right\}$$



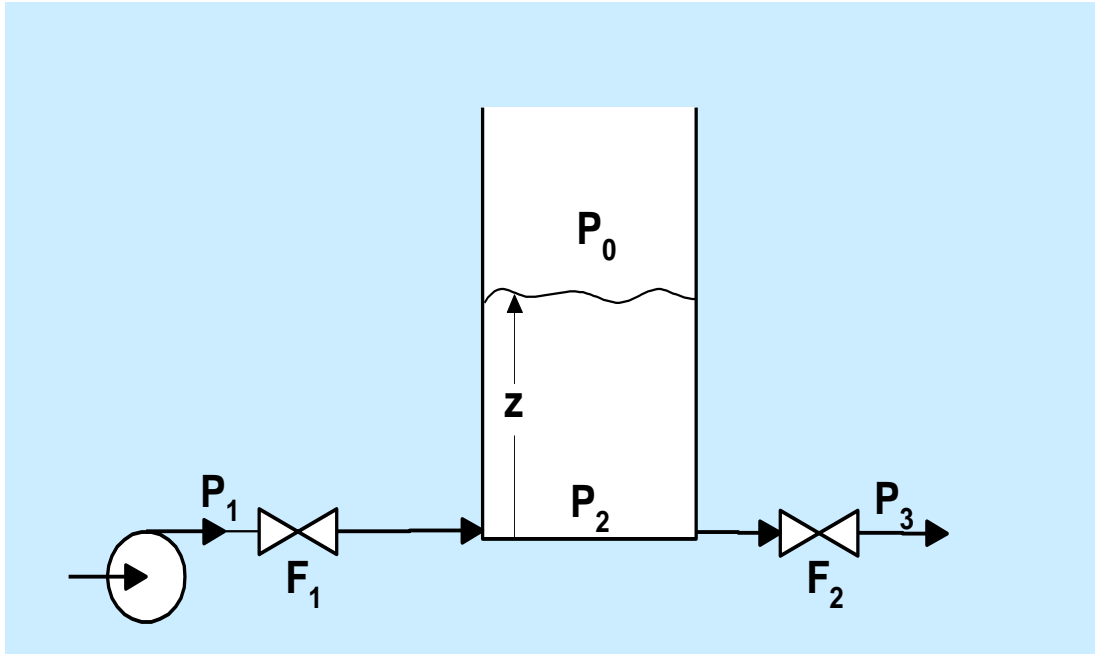
$$\frac{dM}{dt} = \sum_{j=1}^p F_j - \sum_{k=1}^q F_k$$

# A Process Example





# A Process Example



$$\frac{dz}{dt} = \frac{(F_1 - F_2)}{A}$$

$$F_1 - C_V \sqrt{P_1 - P_2} = 0$$

$$F_2 - C_V \sqrt{P_2 - P_3} = 0$$

$$P_2 - P_0 - \rho g z = 0$$

# Component mass balances

$$\left\{ \begin{array}{l} \text{rate of change of} \\ \text{mass of species } i \end{array} \right\} = \left\{ \begin{array}{l} \text{mass flow of species } i \\ \text{into the system} \end{array} \right\} - \left\{ \begin{array}{l} \text{mass flow of species } i \\ \text{out of the system} \end{array} \right\} + \left\{ \begin{array}{l} \text{generation or consumption} \\ \text{of species } i \text{ in volume} \end{array} \right\}$$

$$\frac{dm_i}{dt} = \sum_{j=1}^p f_{i,j} - \sum_{k=1}^q f_{i,k} + g_i \quad i = 1, \dots, n$$

# Molar Balance

Mass balances that involve reactions are most conveniently expressed as molar balances, i.e.,

$$\frac{dn_i}{dt} = \sum_{j=1}^p \tilde{f}_{i,j} - \sum_{k=1}^q \tilde{f}_{i,k} + \tilde{g}_i \quad i = 1, \dots, n$$

where  $\tilde{g}_i$  is the rate of generation or consumption of species  $i$  through reaction, i.e.,

$$\tilde{g}_i = r_i \left[ \frac{\text{moles}}{\text{m}^3 \cdot \text{sec}} \right] V [\text{m}^3]$$

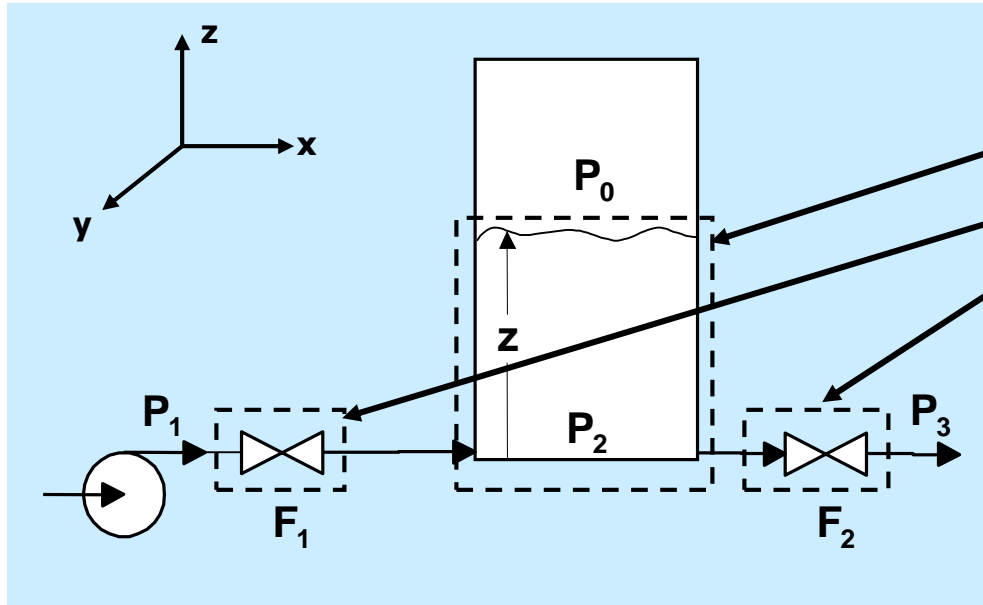
where

$$r_i = \text{rate of generation of species } i \\ = \nu_i r$$

where

$$\nu_i = \text{stoichiometric coefficient} \\ r = \text{overall reaction rate}$$

# Tank mass balance



## Balance volumes

- tank holdup
- valves

## Conservation

$$\frac{dM}{dt} = F_1 - F_2$$

## Constitutive

$$F_1 = C_V \sqrt{P_1 - P_2}$$

$$F_2 = C_V \sqrt{P_2 - P_3}$$

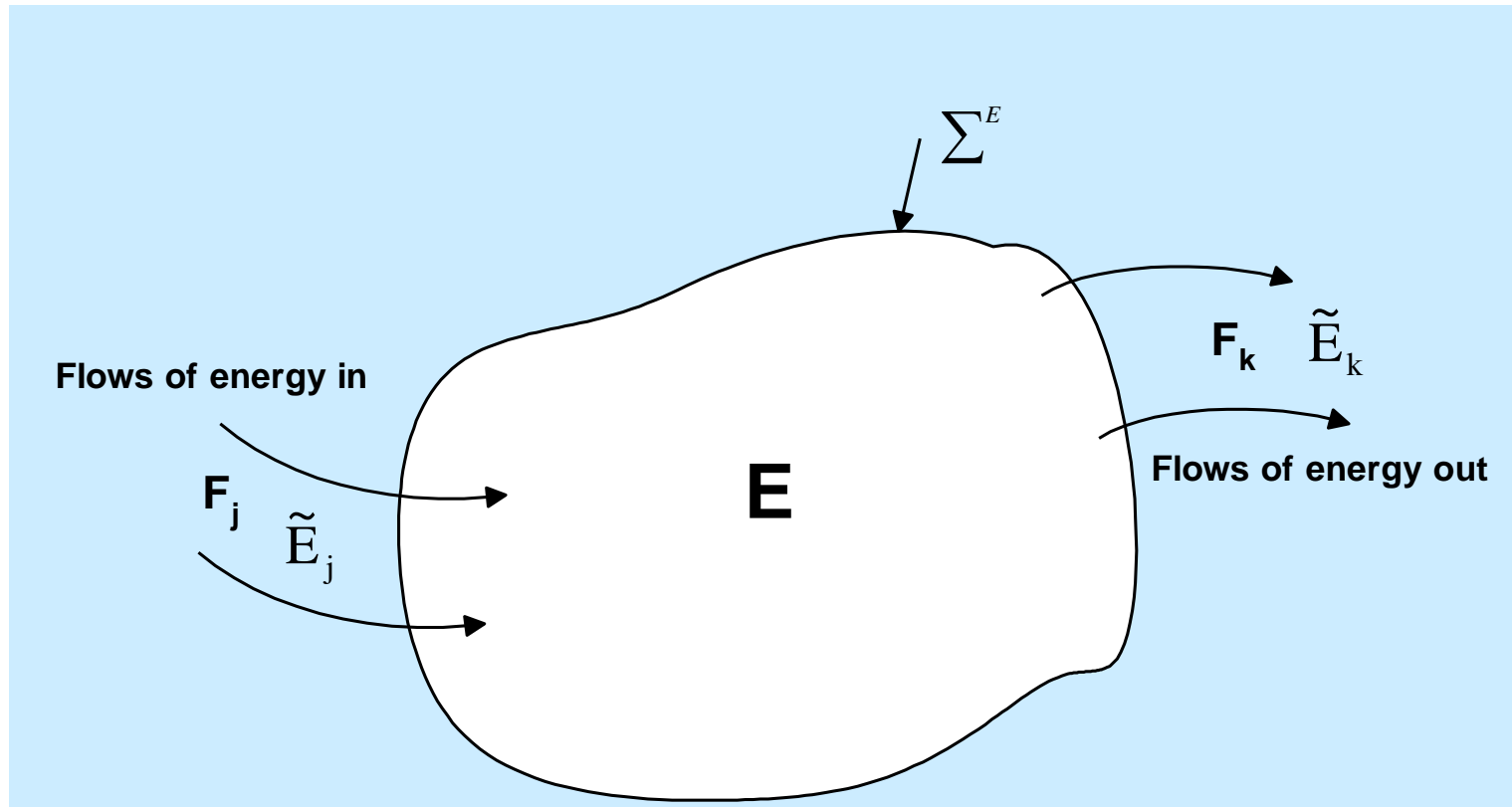
$$P_2 = P_0 + \rho g z$$

$$z = M / A \rho$$

## Assumptions

- A1:** Well mixed in x,y,z directions
- A2:** no mass holdup in valves
- A3:** no physical losses from system
- A4:** no mass losses from evaporation
- A5:** Isothermal operation

# The energy balance volume



# Energy balance

$$\left\{ \begin{array}{l} \text{rate of change} \\ \text{of total energy} \end{array} \right\} = \left\{ \begin{array}{l} \text{flow of energy} \\ \text{into the system} \end{array} \right\} - \left\{ \begin{array}{l} \text{flow of energy} \\ \text{out of the system} \end{array} \right\}$$

## Components of the balance

- Convective energy flows
- Conductive/radiative flows
- Work terms

# Energy Balance Terms

❖ Convective flows



$$\sum_{j=1}^p F_j (\hat{U} + \hat{K}_E + \hat{P}_E)_j$$

$$\sum_{k=1}^q F_k (\hat{U} + \hat{K}_E + \hat{P}_E)_k$$

❖ Heat flows



$$Q = Q_C + Q_R$$

❖ Work terms

$$W_S$$

◆ shaft work



◆ expansion work



$$W_E = -\iiint_V P(r,t) dV = -P \frac{dV}{dt}$$

◆ flow work



$$W_F = \sum_{j=1}^p F_j (P \hat{V})_j - \sum_{k=1}^q F_k (P \hat{V})_k$$

# Total energy balance

$$\frac{dE}{dt} = \sum_{j=1}^p F_j \hat{E}_j - \sum_{k=1}^q F_k \hat{E}_k + Q + W$$

$$\begin{aligned} \frac{dE}{dt} = & \sum_{j=1}^p F_j (\hat{U} + \hat{K}_E + \hat{P}_E)_j - \sum_{k=1}^q F_k (\hat{U} + \hat{K}_E + \hat{P}_E)_k + Q \\ & + \left\{ \sum_{j=1}^p F_j (P\hat{V})_j - \sum_{k=1}^q F_k (P\hat{V})_k + W_E + W_S \right\} \end{aligned}$$

$$\frac{dE}{dt} = \sum_{j=1}^p F_j (\hat{H} + \hat{K}_E + \hat{P}_E)_j - \sum_{k=1}^q F_k (\hat{H} + \hat{K}_E + \hat{P}_E)_k + Q + \hat{W}$$



# Reduced energy balances

❖ Neglect  $P_E$ ,  $K_E$

$$\frac{dU}{dt} = \sum_{j=1}^p F_j \hat{H}_j - \sum_{k=1}^q F_k \hat{H}_k + Q + \hat{W}$$

❖ PV

constant  
or small

$$\frac{dU}{dt} = \frac{d(H - PV)}{dt} = \sum_{j=1}^p F_j \hat{H}_j - \sum_{k=1}^q F_k \hat{H}_k + Q + \hat{W}$$

$$\frac{dH}{dt} = \sum_{j=1}^p F_j \hat{H}_j - \sum_{k=1}^q F_k \hat{H}_k + Q + \hat{W}$$

❖ Output specific  
enthalpies equivalent  
to bulk phase

$$\frac{dH}{dt} = \sum_{j=1}^p F_j \hat{H}_j - \sum_{k=1}^q F_k \hat{H}_k + Q + \hat{W}$$

# Reduced energy balances (cont.)

❖ all enthalpies evaluated at system temperature

$$\hat{H}_j(T_j) = \hat{H}_j(T) + c_{P_j}(T_j - T)$$

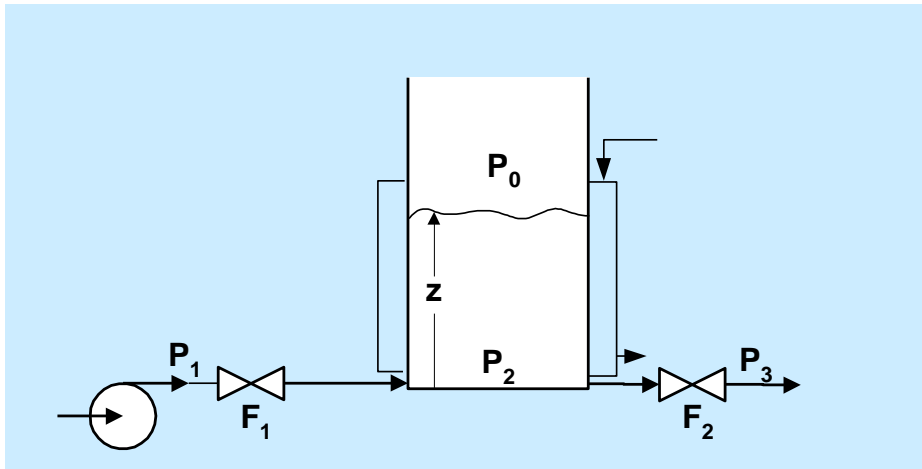
$$\frac{dH}{dt} = \sum_{j=1}^p F_j \left[ \hat{H}_j(T) + c_{P_j}(T_j - T) \right] - \sum_{k=1}^q F_k \hat{H}(T) + Q + \hat{W}$$

❖ explicit appearance of reaction terms and temperature

$$V\rho c_P \frac{dT}{dt} = \sum_{j=1}^p F_j c_{P_j}(T_j - T) + rV(-\Delta H_R) + Q + \hat{W}$$

**Note:  $H = M c_p T$**

# Tank energy balance

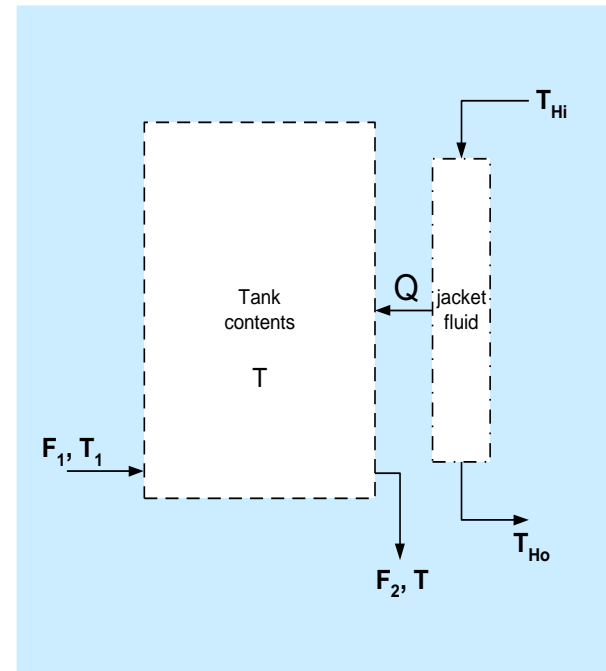


## Balance volumes

- tank holdup
- jacket holdup

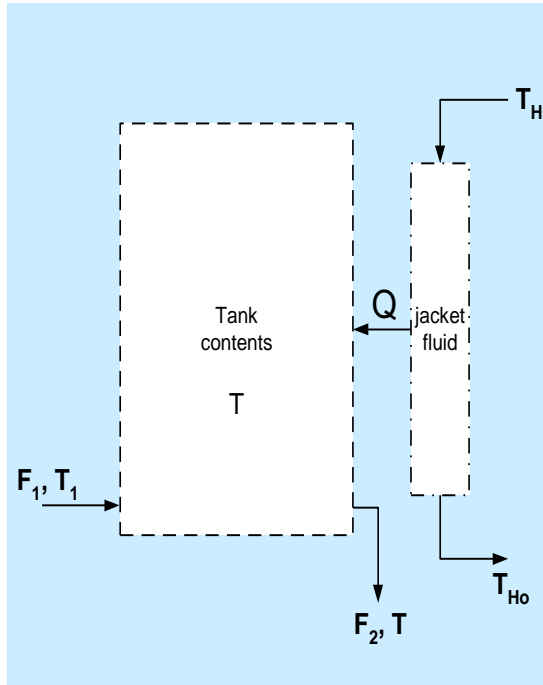
## Assumptions

- A5: Fixed mass holdup in jacket**
- A6: well mixed jacket contents**
- A7: negligible KE terms**
- A8: negligible PE terms**
- A9: negligible PV terms**
- A10: jacket temperature is averaged**
- A11: fixed heat capacities**



# Tank energy balances

## System



## Tank

### Conservation

$$\frac{dH}{dt} = Q + F_1 \hat{h}_1 - F_2 \hat{h}_2$$

### Constitutive

$$Q = UA(T_H - T)$$

$$\hat{h}_1 = f(T_1, P)$$

$$\hat{h}_2 = f(T_2, P)$$

$$H = Mc_p T$$

$$A = \pi D z$$

## Jacket

### Conservation

$$\frac{dH_J}{dt} = F_H \hat{h}_{Hi} - F_H \hat{h}_{Ho} - Q$$

### Constitutive

$$Q = F_H c_{PH} (T_{Hi} - T_{Ho})$$

$$\hat{h}_{Hi} = f(T_{Hi}, P)$$

$$\hat{h}_{Ho} = f(T_{Ho}, P)$$

$$H_J = M_{HJ} c_{PH} T_H$$

$$T_H = (T_{Hi} + T_{Ho})/2$$

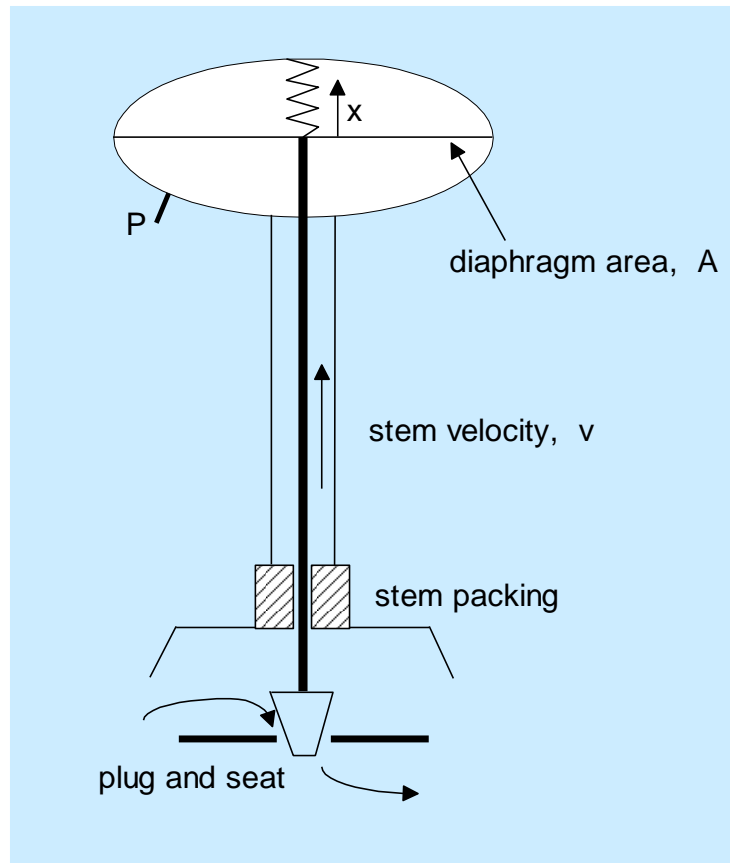
# Momentum balance

$$\left\{ \begin{array}{l} \text{rate of change} \\ \text{of momentum} \end{array} \right\} = \left\{ \begin{array}{l} \text{rate of momentum} \\ \text{into the system} \end{array} \right\} - \left\{ \begin{array}{l} \text{rate of momentum} \\ \text{out of the system} \end{array} \right\}$$

$$\left\{ \begin{array}{l} \text{sum of all forces} \\ \text{on the system} \end{array} \right\}$$

$$\frac{dM}{dt} = M^{(i)} - M^{(o)} + \sum_{i=1}^s F_i$$

# Example momentum balance



$$\frac{dM}{dt} = \frac{d(Mv)}{dt} = F_D - F_S - F_P$$

$$M \frac{dv}{dt} = PA - kx - cv$$

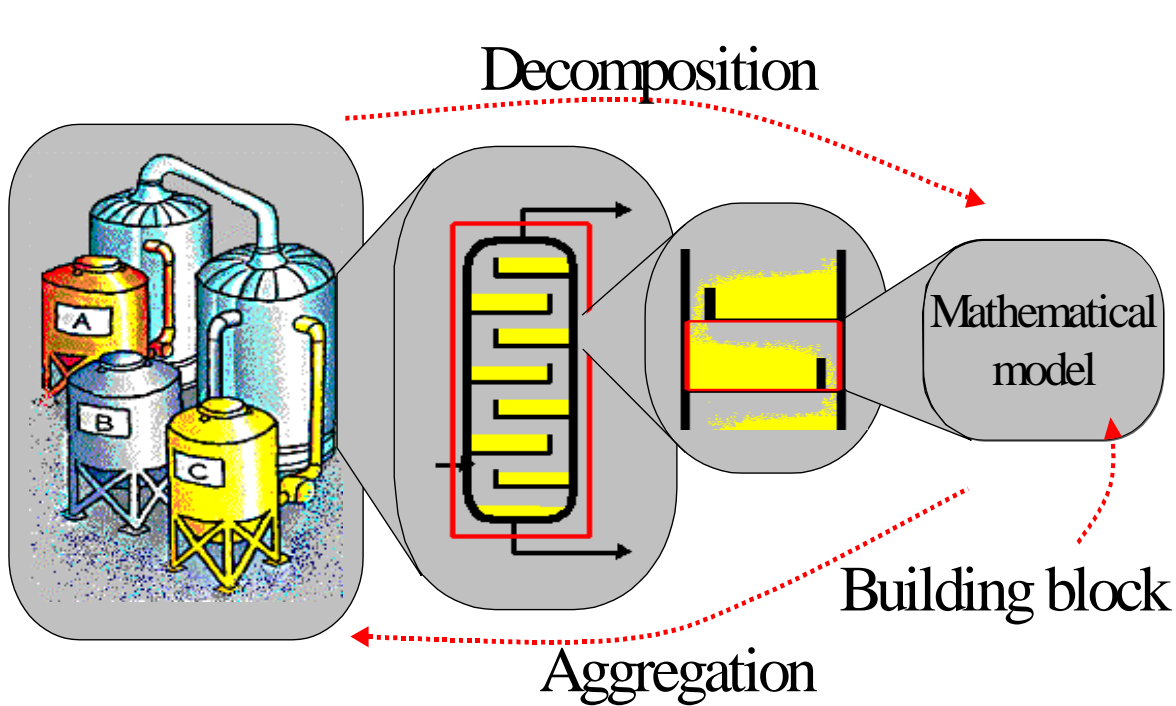
$$\left(\frac{M}{k}\right) \frac{d^2x}{dt^2} + \left(\frac{c}{k}\right) \frac{dx}{dt} + x = \left(\frac{PA}{k}\right)$$

$$\tau^2 \frac{d^2x}{dt^2} + 2\xi\tau \frac{dx}{dt} + x = K$$

# Incremental modelling practice

- ❖ Model mass balances first → test model
- ❖ Add energy balance as needed → test model
- ❖ Add control loops one at a time → tune & test
- ❖ Test overall integrated model
- ❖ Fully document model
  - ◆ Goal and assumptions
  - ◆ Balance volume diagrams
  - ◆ Parameter values and data references
  - ◆ Equation development
  - ◆ Test data and model performance
- ❖ Use model for intended application area

# Model Construction - Generation



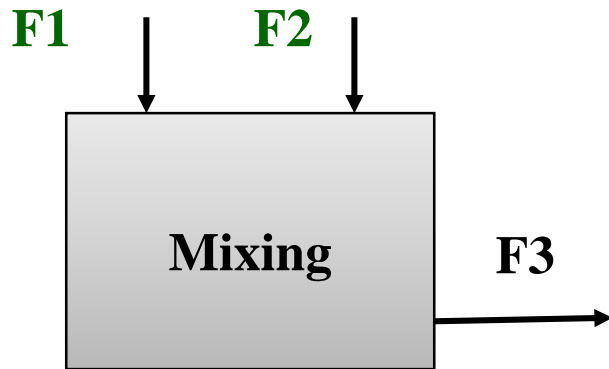
## *Three Classes of Equations*

- \* **Balance Equations**
- \* **Constraint Equations**
- \* **Constitutive Equations**

*Incremental modelling: Develop a reference (generic) model & then use it multiple times or aggregate them*

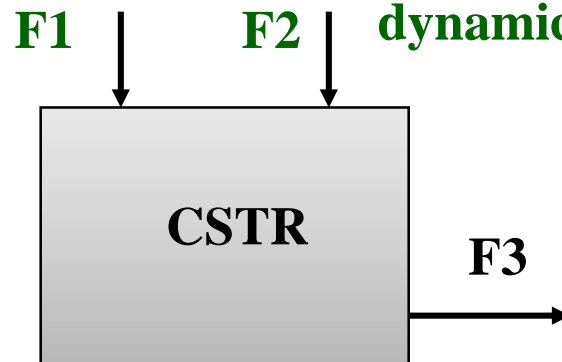


# Modelling exercise -3: Incremental modelling



**3a-1: Model the operation of a single phase mixer (first steady state & then dynamic)**

**3a-2: Add reaction  $A + B \rightarrow C + D$  to the models of 4a (first steady state & then dynamic)**



**3a-3: Add option for one or two-phases (vapour-liquid) in equilibrium with or without reaction (first steady state & then dynamic)**

